



**PATENT**  
Attorney Docket No. EMT-001  
(6696/1)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Li *et al.*

APPLICATION NO.: 09/664,226 GROUP NO.: 3624

FILING DATE: September 18, 2000 EXAMINER: Akers, Geoffrey R.

TITLE: Auction Management

**RECEIVED**

APR 14 2004

**GROUP 3600**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND INFORMATION DISCLOSURE STATEMENT**

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, the Applicants hereby make of record the patents and publications listed on the accompanying Second Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patent (A1) are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

- (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- the requisite Statement is below, **OR**
- the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

(3) after the mailing date of a **final action or notice of allowance** but before the payment of the **issue fee**, **AND**

the requisite Statement is below, **AND**

the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

**STATEMENT**

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that:

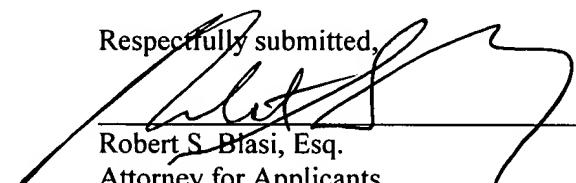
1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or

2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: April 8, 2004  
Reg. No. 50,389

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Respectfully submitted,  
  
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| SECOND FORM PTO - 1449                     | ATTORNEY DOCKET NO.: EMT-001 (6696/1) |
| SECOND INFORMATION DISCLOSURE<br>STATEMENT | APPLICANT(S): Li <i>et al.</i>        |
|  | APPL. NO.: 09/664,226                 |
|  | FILING DATE: September 18, 2000       |
|  | GROUP: 3624                           |

| OTHER ART, JOURNAL ARTICLES, ETC. |  |  |
|-----------------------------------|--|--|
| EXAM.<br>INIT.                    |  | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) |
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|-----------------|------------------------|
| <b>EXAMINER</b> | <b>DATE CONSIDERED</b> |
|-----------------|------------------------|